

27 September 2017

Audit Committee

Internal Audit Progress Report

Report of: *Greg Rubins, Head of Internal Audit (BDO)*

Wards Affected: *All*

This report is: *Public*

1. Executive Summary

1.1 This report is intended to inform the Audit Committee of progress made against the approved internal audit plans for 2016/17 (now completed) and 2017/18, which was approved by the Audit and Scrutiny Committee in March 2017.

1.2 The following reports have been finalised since the last Committee, and a summary of our findings is included in the progress report:

- Housing Services (now with completed action plan) (2016/17)
- Main Financial Systems (2016/17)
- Insurance (2017/18)
- Community Halls Viability (2017/18)

The full reports are available on request.

2. Recommendation

2.1 That the Committee receives and notes the contents of the reports.

3. Introduction and Background

3.1 The Audit and Scrutiny Committee approved the 2017/18 annual audit plan. The progress against plan will be reported to every Audit Committee.

4. Issue, Options and Analysis of Options

4.1 Not applicable.

5. Reasons for Recommendation

- 5.1 To monitor the progress of work against the internal audit plan, and highlight recommendations arising from the work of internal audit and management's actions in response to those recommendations.

6. Consultation

- 6.1 Not applicable.

7. References to Corporate Plan

- 7.1 Good financial management, risk management and internal control underpin all priorities within the Corporate Plan.

8. Implications

Financial Implications

- 8.1 There are no direct financial implications arising from this report.

Legal Implications

- 8.2 There are no direct legal implications arising from this report.

Other Implications.

- 8.3 Not applicable

9. Background Papers

- 9.1 Not applicable

10. Appendices to this report

- Appendix A – Internal Audit Progress Report September 2017

Report Author Contact Details:

Name: Greg Rubins, Head of Internal Audit (BDO)
Telephone: 02380 881892
E-mail: greg.rubins@bdo.co.uk

Name: Angela Mason-Bell, Internal Audit Manager (BDO)
Telephone: 07813 000 319
E-mail: angela.mason-bell@bdo.co.uk